

COUNTERFEIT PARTS PREVENTION QUESTIONNAIRE

As part of PCB Piezotronics, Inc. policies and procedures, and in an effort to support the requirements of ISO 9001 and AS9100, we must periodically review and update our approved supplier list. The following Counterfeit Parts Prevention Questionnaire was developed by PCB Piezotronics, Inc. to assess and document the capability of Supplier's to prevent counterfeit parts from entering their supply chain. The information you provide in this questionnaire is important for the establishment and/or maintenance of your **Approved Supplier Status** at PCB Piezotronics, Inc.

Please follow the instructions to complete this survey and return all applicable documents via e-mail to **vendors@pcb.com**, within 15 working days. Direct any questions to the PCB Supplier Development Engineer at **SDE@pcb.com** or your PCB Buyer.

To be completed by PCB:

Requested by (PCB Representative): _____

Date: _____

PCB Supplier Category Description: _____

Vendor No. _____

Reason for Survey: New Supplier Existing Supplier Recertification Survey

A Organizational Information

Company Name: _____

Name / Title: _____

Email: _____

Phone#: _____

B Counterfeit Prevention Questions

- 1 Do you have a documented counterfeit parts prevention policy?
If so, please provide a copy of the policy with this questionnaire. YES NO
- 2 Do you supply PCB with electronic components, **connectors**, or any product that contains electronic components? YES NO
- 3 Are you an Original Equipment Manufacturer or Original Component Manufacturer (OEM/OCM)? YES NO
- 4 Are you an OEM/OCM authorized distributor/supplier? YES NO
- 5 Are you an electronic assembly manufacturer, which utilizes electronic components or connectors? YES NO
- 6 Has your company developed and implemented a counterfeit parts control plan that documents its processes used for risk mitigation, disposition, and reporting of counterfeit parts? YES NO
- 7 Do your company's purchasing processes:
- a Assess potential sources of supply (including electronic parts, **connectors**, assembly, and equipment suppliers) to determine the risk of receiving counterfeit parts? YES NO

Note : Assessment actions may include surveys, audits, review of product alerts (e.g. GIDEP- Government Industry Data Exchange Program, ERAI -Electronic Resellers Association International) and review of supplier quality data to verify past performance.

- b Maintain a register of approved suppliers, including the scope of the approval, to minimize the risk of counterfeit parts supply? YES NO
- c Specify a preference to procure directly from Original Equipment Manufacturers/Original Component Manufacturers (OEM/OCM's) or OEM authorized distributors/suppliers? YES NO

d Assure that approved **or** ongoing sources of supply **maintain** effective processes **to mitigate** the risks YES NO of supplying counterfeit parts?

Note: Assurance actions may include surveys, audits, review of product alerts, and review of supplier quality data to determine past performance.

e Specify mitigation inspections **or** tests if components are procured from sources other than OEM/OCM's or authorized **distributors/suppliers**? YES NO

f Specify flow down of applicable counterfeit **prevention processes** to applicable sub-tier contractors and their sub-contractors? YES NO

8 Does your company's documented reporting processes assure that all occurrences of counterfeit parts are reported, as appropriate, to internal organizations, customers, government reporting organizations (e.g., GIDEP), industry supported reporting programs (e.g., ERAI), and criminal investigative authorities? YES NO

9 Has your company ever, and/or does your company currently, procure **components** from independent distributors **or** brokers? YES NO

10 Has your company ever procured items from Hong Dark Electronic Trade Company located in the People's Republic of China or Global IC Trading Group located in the State of California or VisionTech located in Clearwater, FL? YES NO

11 If yes to Question 10 above, were these items **included** in products your company provided to PCB Piezotronics, Inc.? If yes, please provide further information, including PO numbers, delivery dates and supplied product model numbers in the comments section below. YES NO

12 Do you certify that the products you supply to PCB do not contain any counterfeit work? If no, please explain in the **comments section below**. YES NO

13 Additional Comments:

C Survey Certification

I hereby certify that the above survey statements are true and correct to the best of my knowledge, and hereby agree to promptly notify PCB if there is any change to any of the information provided above.

Company Name: _____

Name of Certifying Individual (Print Name): _____

Title: _____ Date: _____

Signature (Electronic preferred): _____

*****Internal Use Only*****

PCB Piezotronics Supplier Approvals:

*Electronic signature acceptable or typed name.

Supplier Development

Engineer: _____

Date _____

Quality Director / Manager: _____

Date _____

Purchasing Manager:
(Optional) _____

Date _____

Buyer
(Optional): _____

Date _____

Survey Results (Check one):

Approved

Limited

Probation

Rejected
